ROBO

Global X ROBO Global Robotics & Automation ETF

ETF Category:
Thematic Growth – Disruptive Technology

As of 04/06/2024

Index Provider: VETTAFI LLC

KEY FEATURES



High Growth Potential | Compelling Economics | Unconstrained Approach

PRODUCT OBJECTIVE

The Global X ROBO Global Robotics & Automation ETF (ROBO) seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the ROBO Global Robotics and Automation Index.

For informational purposes only. This document should be used to highlight some of the criteria to be used by the index provider when publishing index constituents and is not comprehensive.*

ROBO GLOBAL ROBOTICS AND AUTOMATION INDEX

SELECTION

Constituents must be classified within the ROBO Global Industry Classification.

- They should be publicly traded on eligible exchanges.
- Companies must adhere to the ROBO Global ESG Policy.
- Market capitalization should be at least $200 million USD for non-index components and $100 million USD for current index components.
- Liquidity, measured by trading volume, must meet certain thresholds.
    - Companies with a trailing 3-month composite average daily value traded that is more than $2 million USD or companies which are currently an Index Component, with a trailing 3-month average daily value traded at the relevant Determination Date that is more than $1 million
- Companies listed on certain Chinese exchanges must meet specific criteria.
    - Companies listed on either the Shanghai/Hong Kong Stock Connect or Shenzhen/Hong Kong Stock Connect exchanges must appear on the “Lists of securities eligible for unrestricted buying and selling” published by the Hong Kong Stock Exchange concerning the eligibility of securities under the China Stock Connect Program.
- Stocks must have a Theme Score of 50 or higher, determined by factors like revenue from theme-related activities and market/technology leadership.
- The index should have a minimum of 50 constituents, adjusting criteria if necessary.
- A maximum of 100 constituents is allowed, selected based on Theme Score and market capitalisation.

Sub-Index Selection

- Includes all constituents of the primary index which are listed on an eligible United States of America exchange.
- Includes all constituents of the primary index which are listed on an eligible Japanese exchange.

SELECTION POOL

- Companies within the ROBO Global® Robotics and Automation Index Series are identified as “Technology” companies or “Applications” companies:
    - “Technology” refers to all companies that manufacture or provide services related to machinery, equipment, devices, or sensors that support a robot performing a task.
    - “Applications” refers to companies that incorporate multiple robotic and automation technologies into their product or manufacturing process to improve efficiency in traditional business lines, as well those that are developing entirely new business propositions within the theme.
- Companies are further subclassified into the following subsectors under either Technology or Applications:
    - “Technology” – Actuation, Computing & Artificial Intelligence, Integration, and sensing
    - “Application” – 3D Printing, Autonomous Systems, Business Process Automation, Food and Agriculture, Healthcare, Logistics Automation and Manufacturing & Industrial Automation

115 PITT STREET
9TH FLOOR
SYDNEY, NSW 2000

(61) 2 8311 3488
INFO@GLOBALXETFS.COM.AU
WWW.GLOBALXETFS.COM.AU

- Theme Score:
- Companies classified within each subsector are assigned a "Theme Score," comprised of factors representing:
  - An assessment of the revenue a company generates, contributes to, and derives from business activities related to the assigned subsector(s).
  - This includes an evaluation of the company's investment in existing or new business areas.
  - This criterion involves an assessment of a company's market share, addressable markets, business partnerships, competitive advantages (moats), executive leadership team, financial stability, and risk factors, relative to its peers in the respective subsector(s).
  - This includes an evaluation of each company's technological capabilities in key areas related to the specific theme and subsector(s), covering technical moats, patents, development pace, positioning (first mover, etc.) and innovation factor.
- Each stock's "Theme Score" ranges from 1 to 100 and is reviewed no less than annually.

WEIGHTING SCHEME

- Constituents' weights are based on their Theme Scores.
  - Each constituent's weight is calculated by dividing its "Theme Score" by the sum of all constituents
- Chinese exchange-listed securities have their weight adjusted by a China A Inclusion Factor, with excess weight redistributed.
- Individual security weights are capped to approximately 5% of available shares, adjusted based on total assets under management in associated ETFs.
- Sub-index constituents are weighted based on their reference weights to ensure a sum of 100%.

REBALANCE/REVIEWS

Rebalance and Reviewed Quarterly

For more information on the Index, please visit VettaFi's website here:
https://www.vettafi.com/

*For the complete and current index methodology please refer to the index provider's website. This summary document is accurate as of the time of its publication and Global X does not guarantee that it is current at any point thereafter.

The selection of the index constituents and their weighting is made by the index provider at its sole discretion.

Global X Management (AUS) Limited ("Global X") (Australian Financial Services Licence Number 466778, ACN 150 433 828) is the product issuer. Offers of interests in any retail product will only be made in, or accompanied by, a Product Disclosure Statement (PDS). In respect of each retail product, Global X has prepared a target market determination (TMD). Each PDS and TMD is available at www.globalxetfs.com.au. The information on this website is general in nature only and does not take into account your personal objectives, financial situations or needs. Before acting on any information, you should consider the appropriateness of the information having regard to your objectives, financial situation or needs and consider seeking independent financial, legal, tax and other relevant advice having regard to your particular circumstances. Any investment decision should only be made after obtaining and considering the relevant PDS and TMD. Investments in any product issued by Global X are subject to investment risk, including possible delays in repayment and loss of income and principal invested. The value or return of an investment will fluctuate and an investor may lose some or all of their investment. Past performance is not a reliable indicator of future performance.

Diversification does not ensure a profit nor guarantee against a loss. Brokerage commissions will reduce returns. This material represents an assessment of the market environment at a specific point in time and is not intended to be a forecast of future events, or a guarantee of future results.

115 PITT STREET
9TH FLOOR
SYDNEY, NSW 2000

(61) 2 8311 3488
INFO@GLOBALXETFS.COM.AU
WWW.GLOBALXETFS.COM.AU